

# (12) UK Patent Application (19) GB (11) 2 335 572 (13) A

(43) Date of A Publication 22.09.1999

(21) Application No 9818750.3

(22) Date of Filing 27.08.1998

(30) Priority Data

(31) 10068524 (32) 18.03.1998 (33) JP

(71) Applicant(s)

Fujitsu Limited  
(Incorporated in Japan)  
1-1 Kamikodanaka 4-chome, Nakahara-ku,  
Kawasaki-shi, Kanagawa 211-8588, Japan

(72) Inventor(s)

Yoshinori Tanaka  
Shuji Kobayakawa  
Masafumi Tsutsui

(74) Agent and/or Address for Service

Hasekine Lake & Co  
Imperial House, 15-19 Kingsway, LONDON,  
WC2B 6UD, United Kingdom

(51) INT CL<sup>6</sup>

H04Q 7/36 // H04B 7/10

(52) UK CL (Edition Q)

H4L LDSG

(56) Documents Cited

EP 0771400 A2 WO 96/37973 A1

(58) Field of Search

UK CL (Edition Q) H1Q QFA QFF, H4L LDSG  
INT CL<sup>6</sup> H01Q 3/26, H04B 7/10, H04Q 7/36  
Online: WPI, EPODOC, PAJ

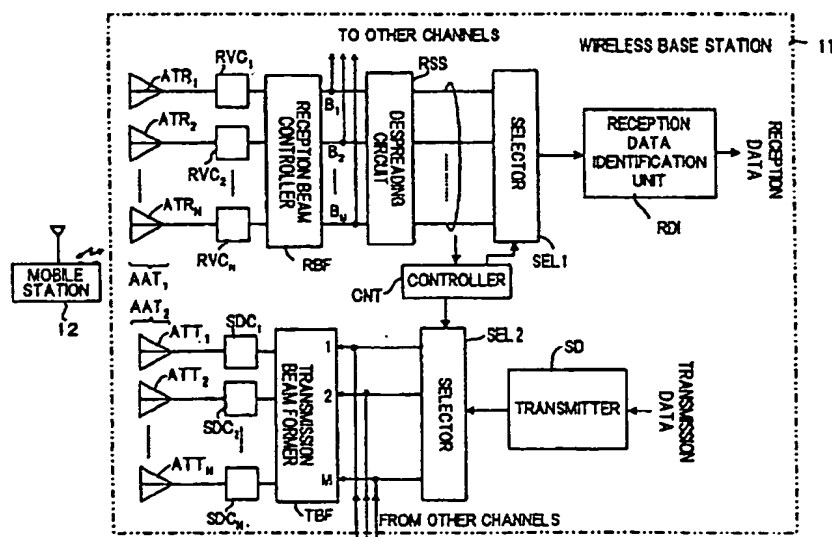
BEST AVAILABLE COPY

(54) Abstract Title

Multiple beam antenna system

(57) In a CDMA wireless base station, signals that have been received from a plurality of antenna elements of an antenna array are subjected to a reception beam former to electrically form a plurality of uplink beams. Reception is carried out on the basis of the optimum beam, for example the beam for which the power is maximum. Based upon whether an uplink reception signal is present or not, a controller performs downlink beam forming, thereby forming a downlink transmission beam in a direction identical to with that of the optimum reception beam, or in such a manner that downlink beam forming is not carried out. Additionally a mobile of the system may transmit uplink pilot signals to the base station when a decline in transmission quality is detected.

FIG. 1



GB 2 335 572 A

FIG. 1

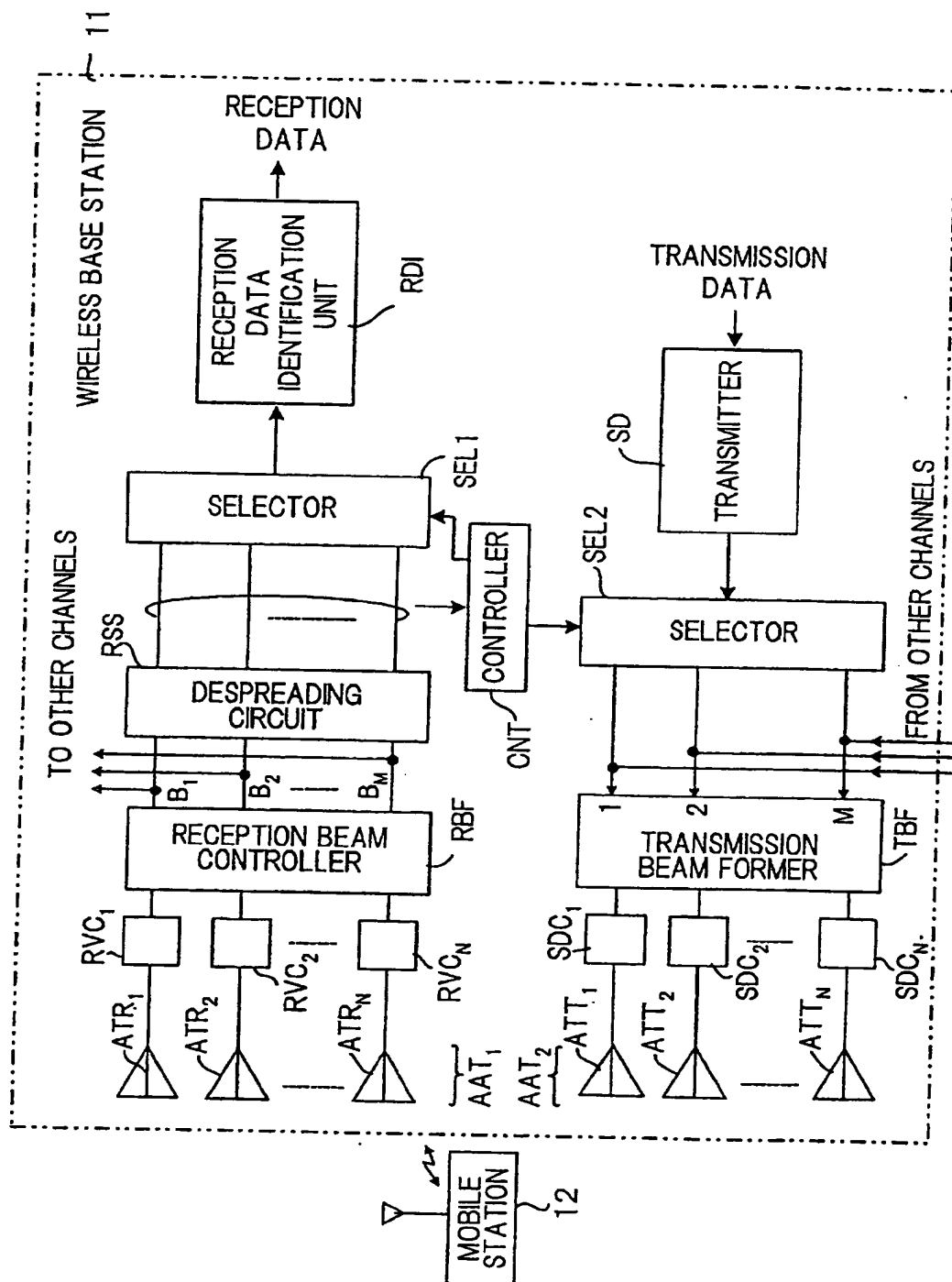


FIG. 2

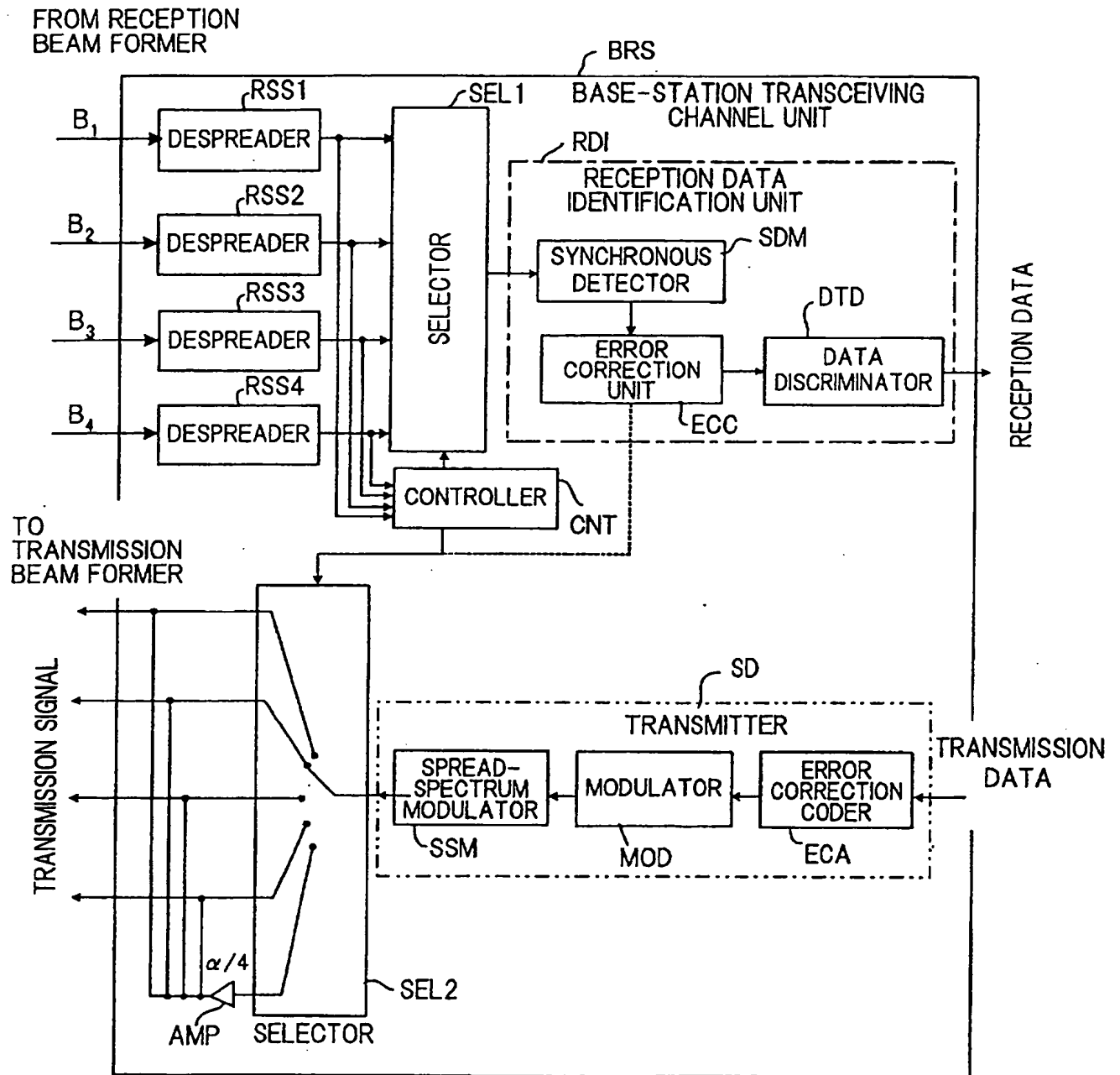


FIG.3

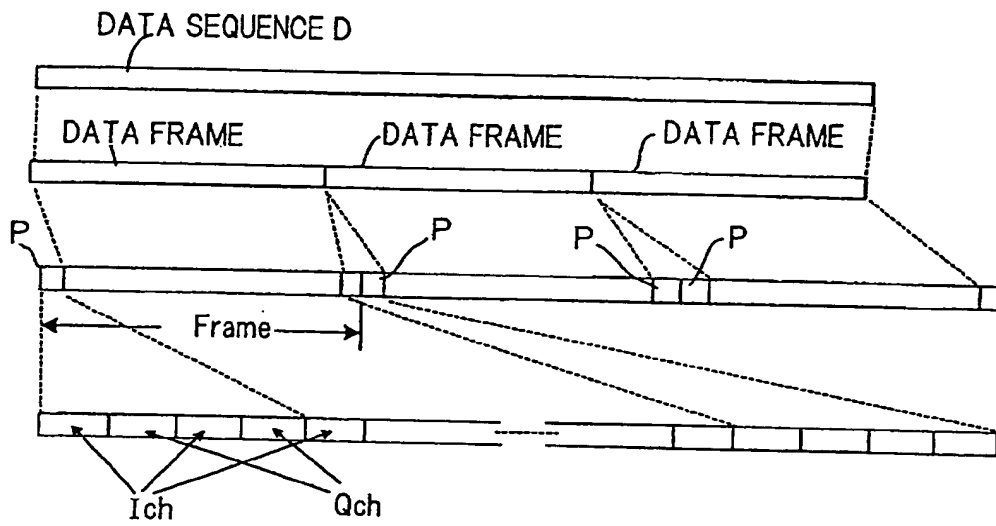


FIG.4

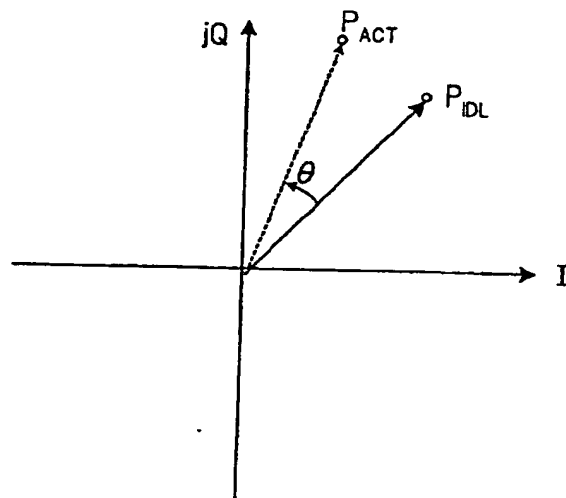


FIG. 5

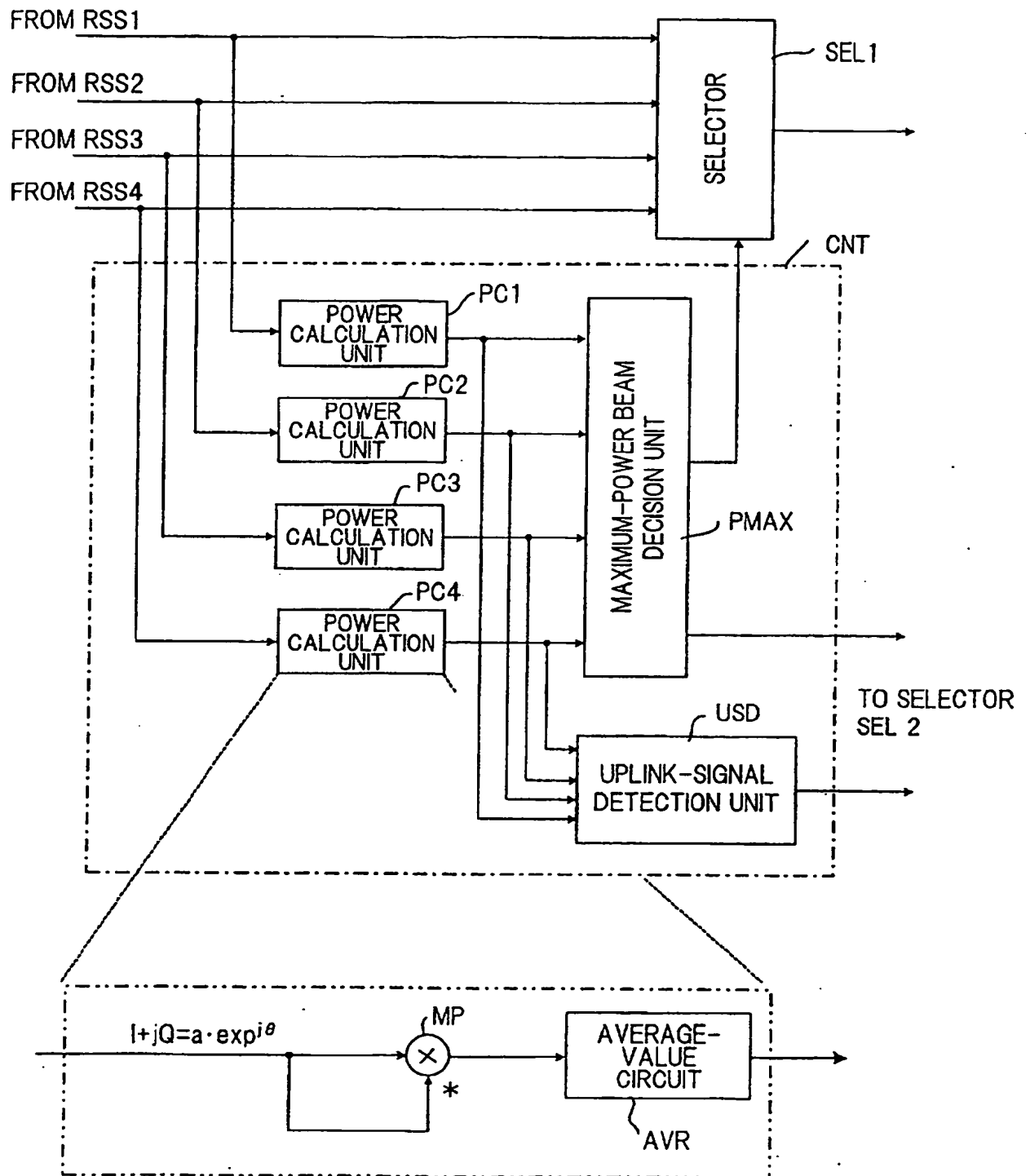


FIG. 6

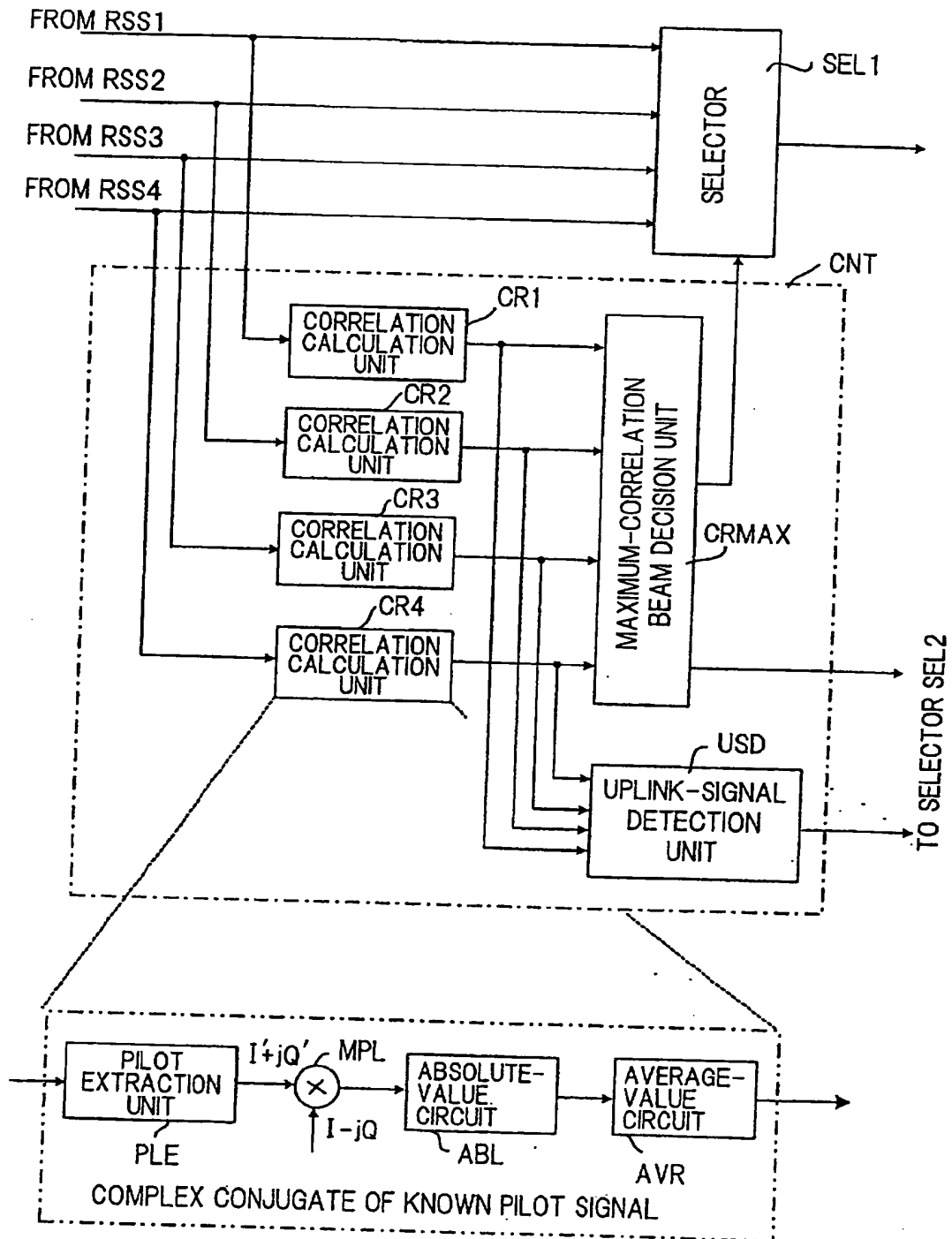


FIG. 7

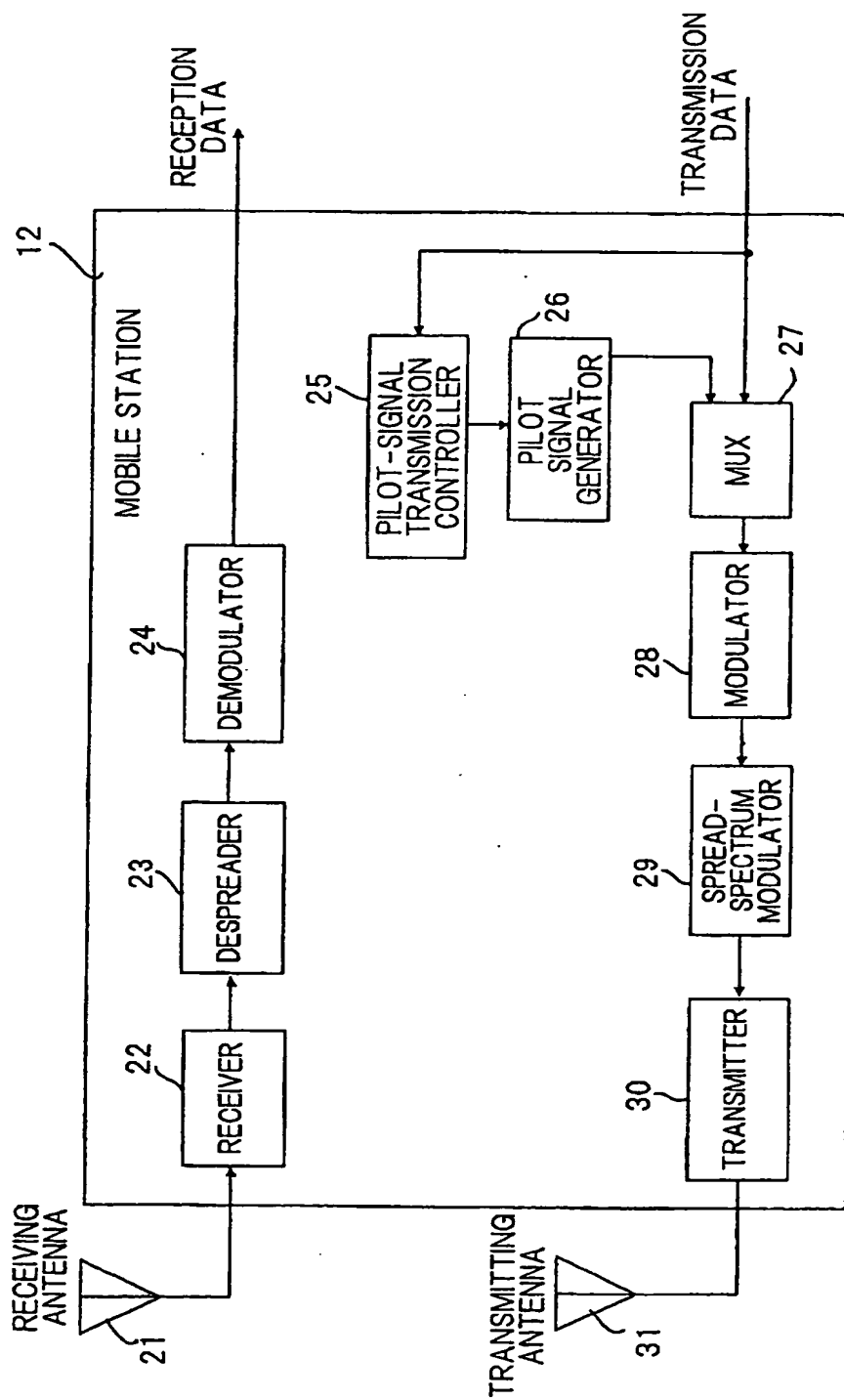


FIG. 8

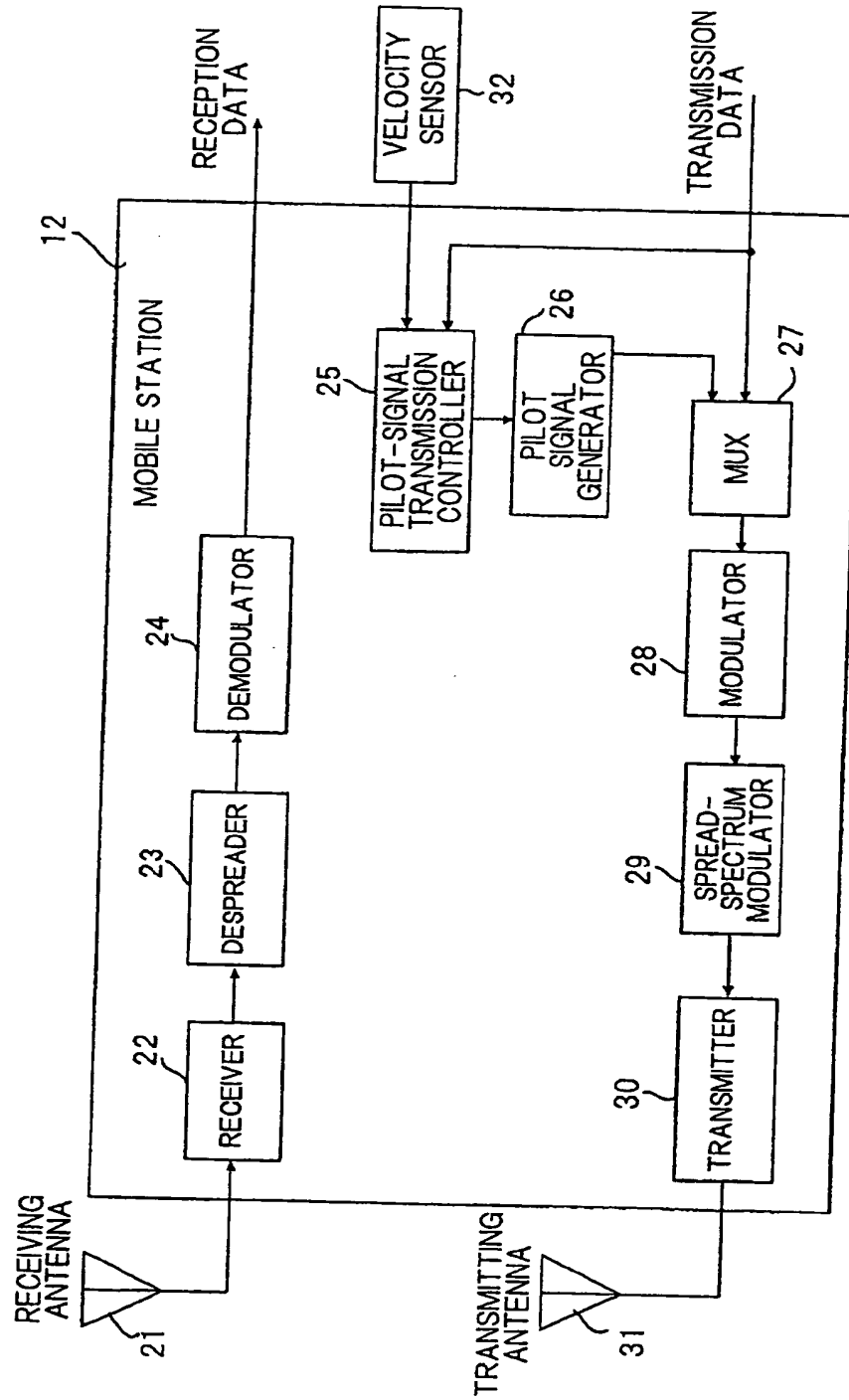
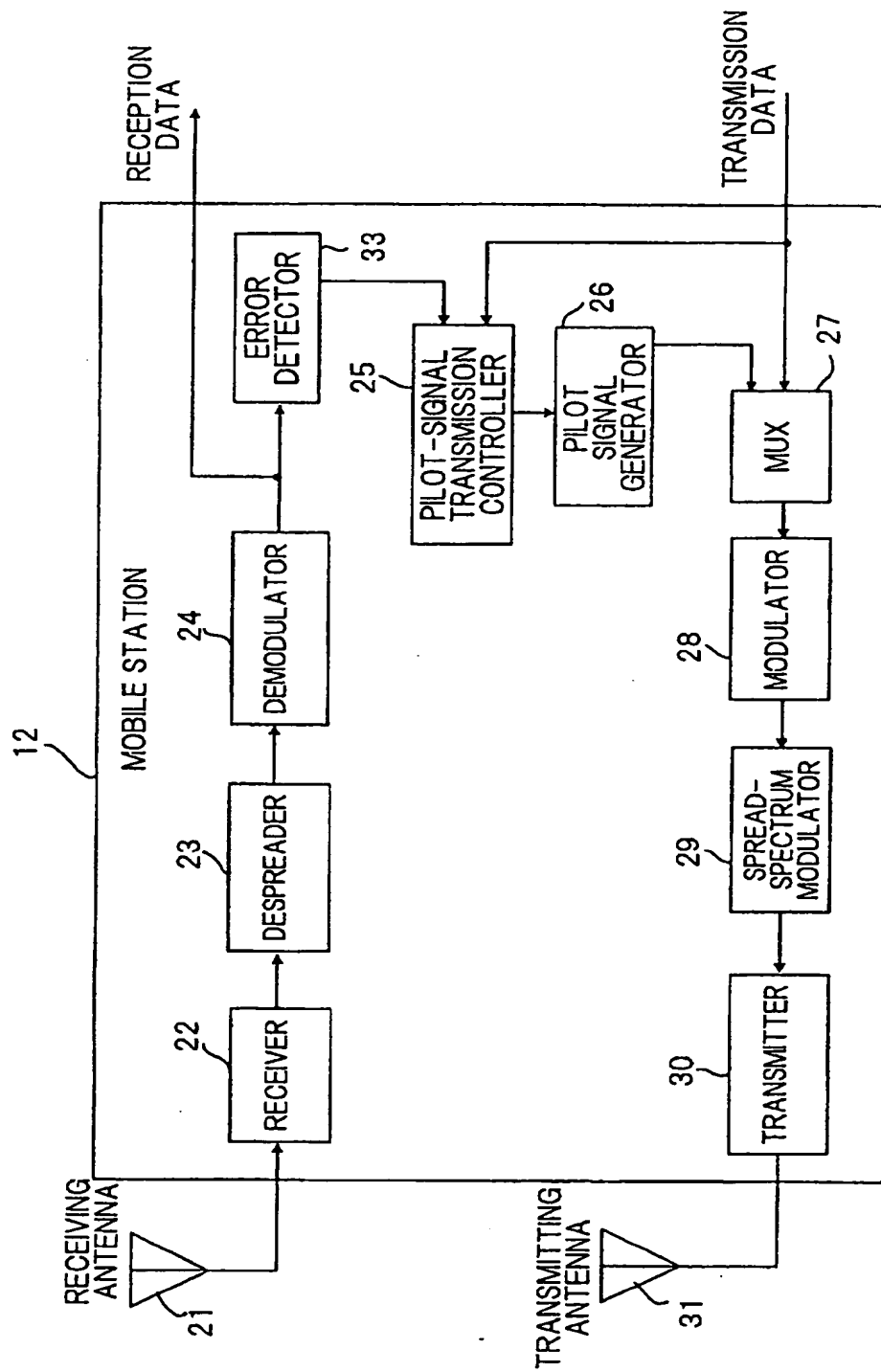
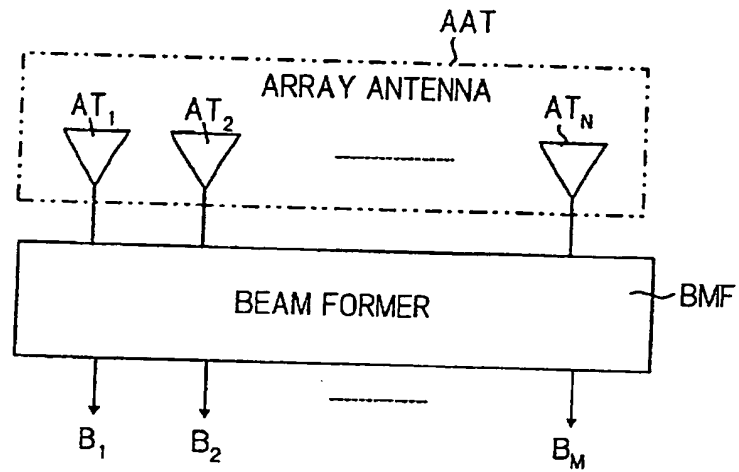




FIG. 9



*FIG.10 PRIOR ART*



*FIG.11 PRIOR ART*

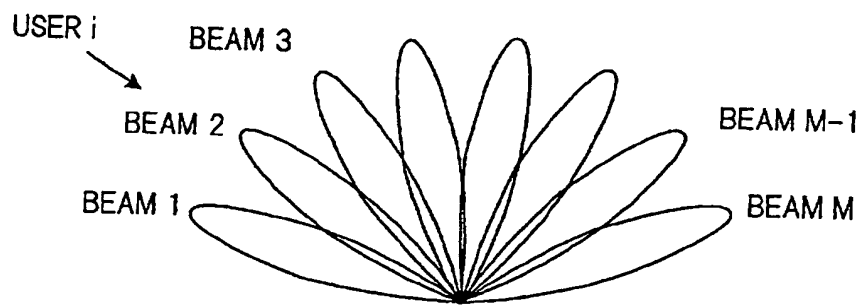


FIG. 12 PRIOR ART

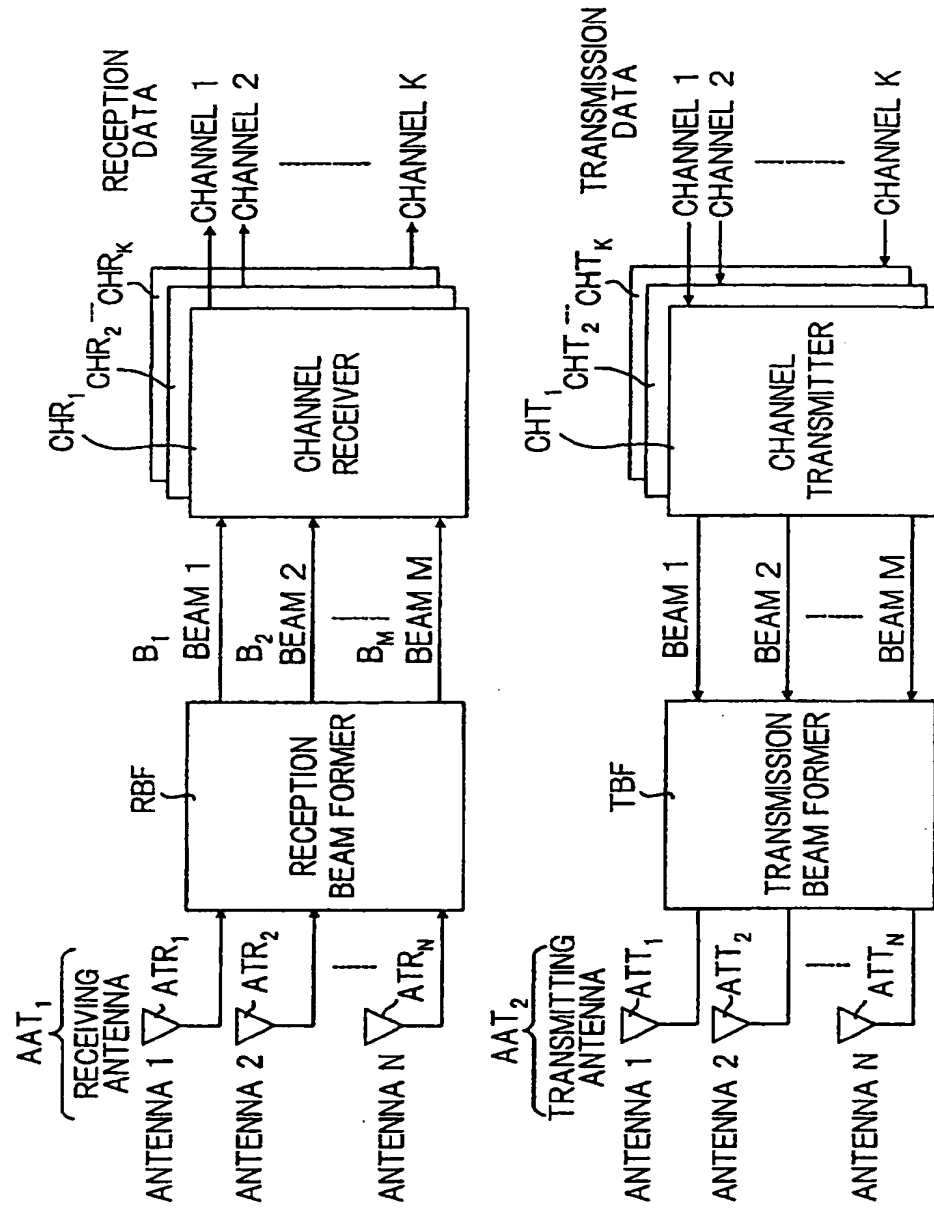


FIG.13 PRIOR ART

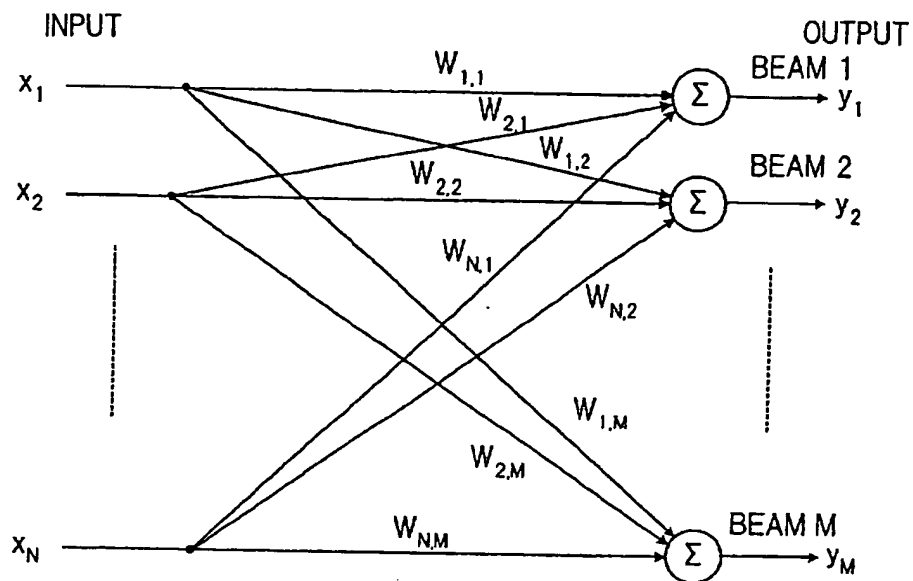
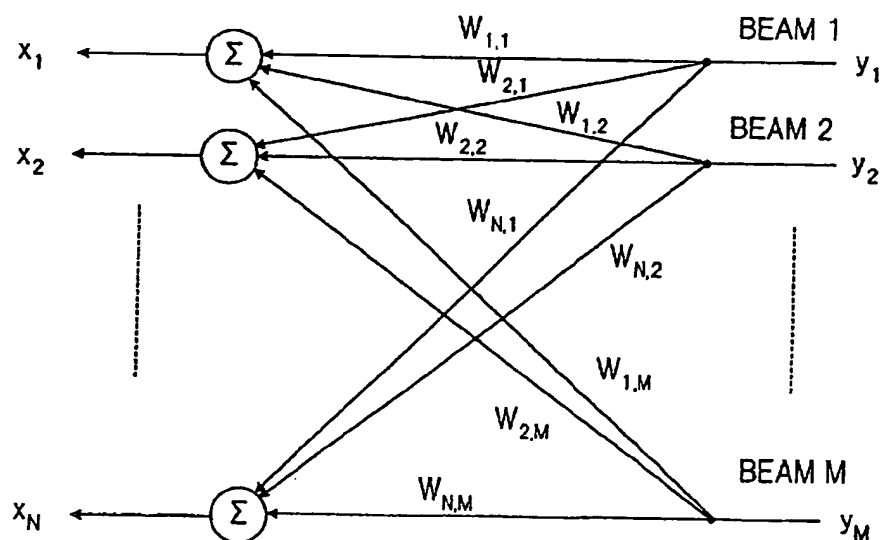


FIG.14 PRIOR ART



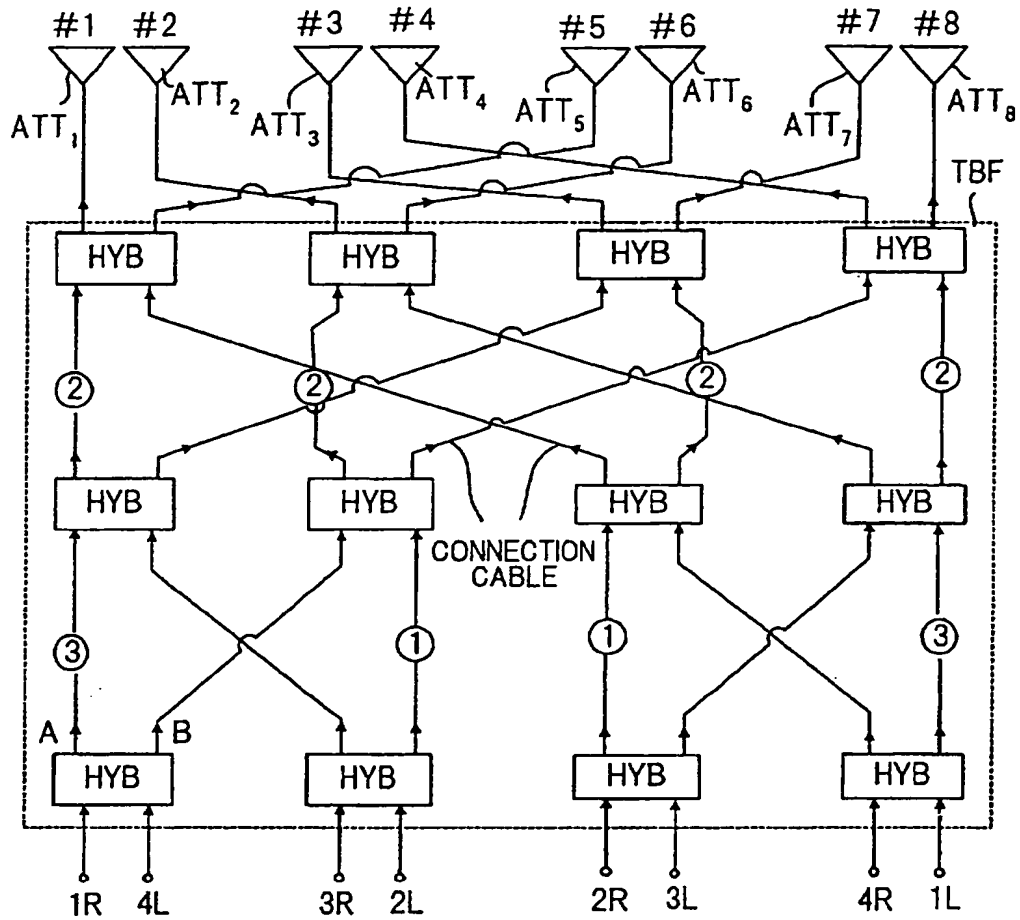
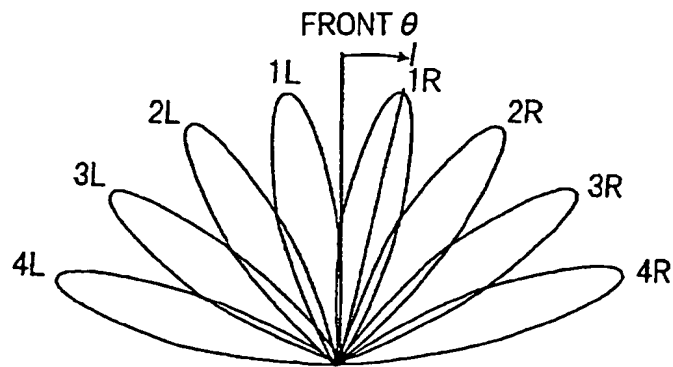
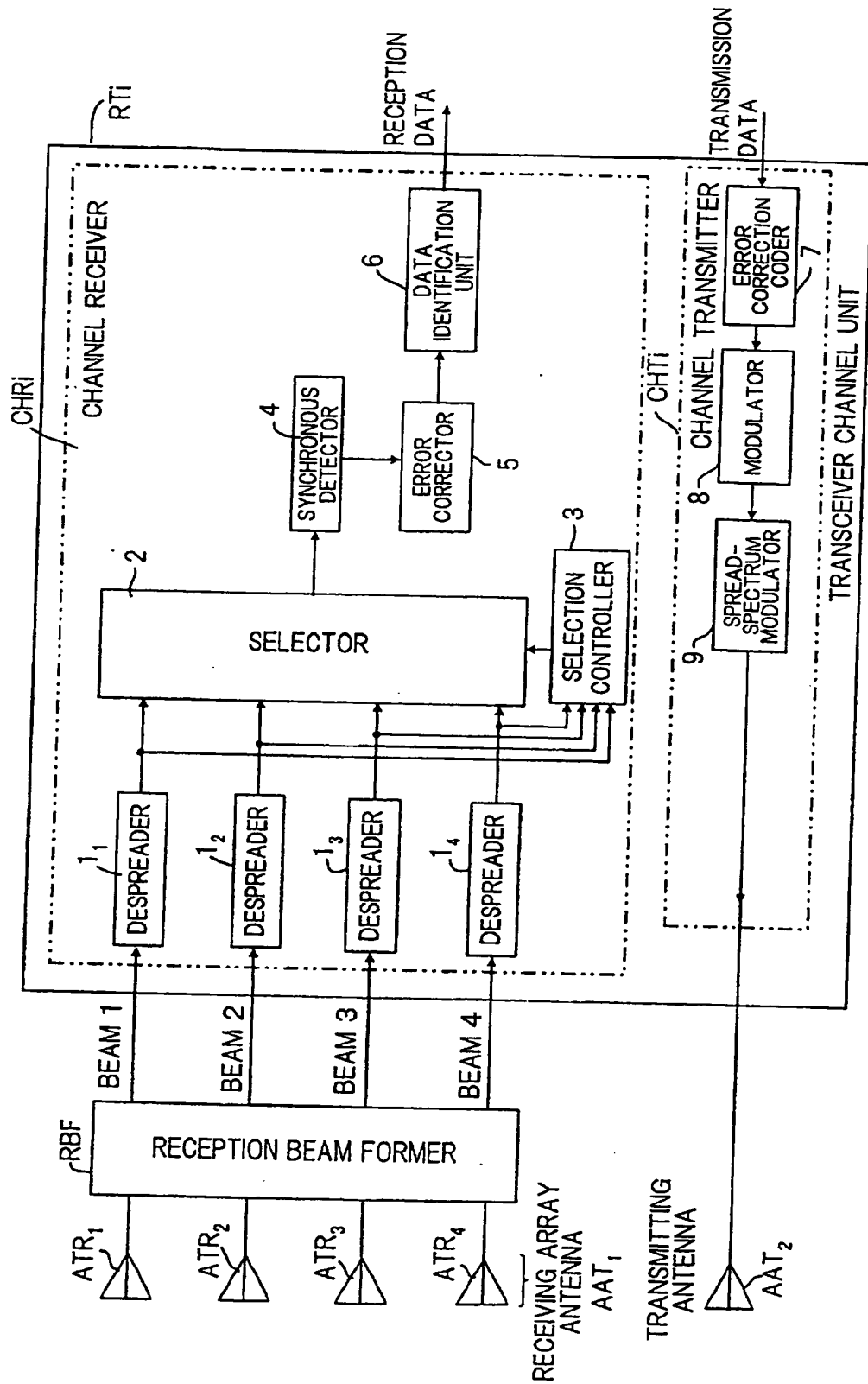
*FIG. 15 PRIOR ART**FIG. 16 PRIOR ART*

FIG. 17 PRIOR ART



2335572

## MULTIPLE-BEAM ANTENNA SYSTEM OF WIRELESS BASE STATION

5           This invention relates to a wireless base station multiple-beam antenna system in DS-CDMA wireless communications. More particularly, the invention relates to a multiple-beam antenna system having an uplink beam forming function for performing reception  
10 upon applying uplink beam forming to signals that have been received by a plurality of antenna elements, and a downlink beam forming function for applying downlink beam forming to transmission signals in order to form a transmission beam in a prescribed direction.

15           Digital cellular wireless communication systems using DS-CDMA (Direct Sequence Code Division Multiple Access) technology have been developed as next-generation mobile communications systems for implementing wireless multimedia communication. In a  
20 communications system using DS-CDMA, interference between users is the main cause of a decline in channel capacity and transmission quality of cells. Research and development in regard to multiple-beam antennas and adaptive array antennas is being carried out in an  
25 effort to discover techniques for reducing such interference and improving transmission quality.

As shown in Fig. 10, a multiple-beam antenna performs reception using an array antenna AAT consisting

of a plurality of element antennas  $AT_1 - AT_N$ , and applies beam forming to antenna output signals by means of a beam former BMF to electrically form multiple beams  $B_1 - B_M$  of prescribed directivity. Each beam of the multiple-beam antenna possesses a directivity pattern of the kind shown in Fig. 11. Accordingly, radio waves emitted from an  $i$ th user (mobile station) residing in the directivity direction of beam 2, for example, are received by the array antenna AAT and the beam former BMF outputs the beams  $B_1 - B_M$ . The power of beam  $B_2$ , however, is greater than that of the other beams  $B_1, B_3 - B_M$ . Data is subsequently demodulated by performing despreading using the beam  $B_2$ . Thus, in accordance with a multiple-beam antenna, reception is performed upon selecting the optimum beam on a per-user (channel) basis, whereby there are obtained such effects as a reduction in interference between channels, an improvement in reception SN ratio owing to a higher antenna gain and a reduction in terminal transmission power.

The foregoing relates to a reception beam former which electrically forms the plurality of uplink reception beams  $B_1 - B_M$  by applying uplink reception beam forming to the signals received by the plurality of antenna elements  $AT_1 - AT_N$  of the array antenna AAT. However, a transmission beam former can be provided as well. More specifically, it is possible to provide a transmission beam former in such a manner that downlink



transmission beam forming is applied to transmission signals to generate antenna element input signals and the signals are input to individual antenna elements, whereby beams having directivities in prescribed  
5 directions are output from the antenna.

Fig. 12 is a diagram showing the construction of a wireless base station using a transceiving multiple-beam antenna. An array antenna  $AAT_1$  on the receiving side has a plurality of antenna elements  $ATR_1 - ATR_N$ . An  
10 array antenna  $AAT_2$  on the transmitting side has a plurality of antenna elements  $ATT_1 - ATT_N$ . A reception beam former RBF electrically forms M-number of uplink reception beams  $B_1 - B_M$  by applying uplink reception beam forming to signals that have been received by the  
15 N-number of antenna elements  $ATR_1 - ATR_N$ . Channel receivers  $CHR_1 - CHR_K$  are provided for respective channels (users or mobile units) and are equipped with despreading circuits, synchronous detection circuits and data identification circuits, etc. The output signals  
20 of the reception beam former RBF enter each of the channel receivers  $CHR_1 - CHR_K$ . Channel transmitters  $CHT_1 - CHT_K$  are provided for respective channels and are equipped with spreading circuits and quadrature modulation circuits, etc. Transmission data on  
25 respective channels enter respective ones of the channel transmitters  $CHT_1 - CHT_K$ . A transmission beam former TBF generates antenna element input signals by applying downlink transmission beam forming to transmission

signals (transmission beams) output from the channel transmitters.

As illustrated in Fig. 13, the reception beam former RBF multiplies output signals  $x_1 - x_N$  of the  
5 respective antenna elements by weights  $W_{k,1}$  to thereby implement phase rotation, and sums the products to electrically form M-number of uplink reception beams 1 - M each having a prescribed directivity. If  $x_k(nT_c)$  represents the reception signals of N-number of antenna  
10 elements and  $W_{k,1}$  represents a conversion coefficient of the beam former, then a signal  $y_1(nT_c)$  of an  $i$ th beam ( $i = 1 - M$ ) will be expressed by the following:

$$y_1(nT_c) = \sum W_{k,1} \cdot x_k(nT_c) \quad (k = 1 - N) \quad (1)$$

The direction (directivity) of each of the M beams can  
15 be applied to the array antenna by deciding the conversion coefficient  $W_{k,1}$ . As a result, a transmission signal from a user (mobile station) in a prescribed  $i$ th directivity direction can be obtained from a terminal, e.g., the  $i$ th terminal, that corresponds to the  $i$ th  
20 directivity direction of the reception beam former RBF.

As illustrated in Fig. 14, the transmission beam former TBF splits a transmission signal (transmission beam)  $y_1$  that enters an  $i$ th input terminal into N branches and multiplies each branch signal  $Y_1$  by the  
25 weight  $W_{k,1}$  ( $k = 1 - N$ ) to implement phase rotation and generate a signal  $x_k$  ( $k = 1 - N$ ) that is input to a respective one of the N transmitting antennas. In this case,  $x_k$  is represented by the following:

$$x_k = W_{k,1} \cdot y_i \quad (2)$$

The direction (directivity) of each of the M beams can be applied to the array antenna by deciding the conversion coefficient  $W_{k,1}$ . As a result, if it is  
5 desired to make a transmission to a user (mobile station) in an  $i$ th transmission beam direction, the transmission signal  $y_i$  should be input to the  $i$ th input terminal of the transmission beam former TBF.

Thus, multiple beams produced by the reception beam  
10 former RBF and multiple beams produced by the transmission beam former TBF are made to coincide. Consequently, in order to communicate with a user (mobile station) in the  $i$ th beam direction, it will suffice to despread the beam output by the  $i$ th output  
15 terminal of the reception beam former RBF and demodulate the data. In order to transmit data, it will suffice to input the transmission signal to the  $i$ th input terminal of the transmission beam former TBF. More specifically, reception signals  $x_i(nT_c)$  ( $i = 1 - N$ ) from N-number of  
20 antenna elements  $ATR_1 - ATR_N$  are amplified, detected and subjected to an A/D conversion by means that are not shown. The reception beam former RBF then digitally forms M-number of beams. That is, the reception beam former RBF obtains the signal  $y_i(nT_c)$  of each beam  
25 through the conversion expressed by Equation (1). Next, the reception beam former RBF performs despreading on a per-channel basis in regard to the plurality of beams formed and carries out uplink reception upon selecting

the beam for which signal power after despreading is largest or the beam for which the correlation power between a pilot signal after despreading and a reference signal is largest. In case of downlink transmission, a  
5 transmission signal is input to the  $i$ th input terminal of the transmission beam former TBF in such a manner that the direction obtained will be the same as that of the beam that was selected at the time of uplink reception. As a result, the transmission array antenna  
10 AAT<sub>2</sub> radiates the transmission signal toward the user (mobile station) in the  $i$ th beam direction.

Fig. 15 shows another example of a beam former. This is a diagram showing the construction of the well-known Butler matrix (in the case of an 8-beam antenna).  
15 Fig. 16 is a diagram useful in describing multiple beams formed by the Butler matrix.

The transmission beam former TBF in Fig. 15 is obtained by combining hybrid circuits, each of which has two input terminals and two output terminals, and phase  
20 shifters for delaying phase by a predetermined amount. Input terminals 1R - 4R, 1L - 4L are connected to all radiating elements ATT<sub>1</sub> - ATT<sub>8</sub> (#1 - #8). This transmission beam former TBF includes hybrid circuits HYB the output terminals A and B of which provide equal  
25 power, with the phase of output terminal B lagging that of output terminal A by  $\pi/2$  ( $= 90^\circ$ ). The encircled numerals indicate the phase shifters; if the numeral is  $m$ , then the phase shift is  $m\pi/8$ . For example, if a

signal enters a hybrid circuit HYB from the terminal 1R, the output terminals A, B deliver equal power but the phase is delayed by  $90^\circ$  ( $\pi/2$ ) at terminal B.

In Fig. 15, amounts of phase shift at the #1 - #8 antenna elements are calculated with respect to an input signal from the input terminal 1R. The amount of phase shift of a connection cable, however, may be considered negligible. Phase is  $5\pi/8$  at antenna element #1,  $6\pi/8$  at antenna element #2,  $7\pi/8$  at antenna element #3,  $8\pi/8$  at antenna element #4,  $9\pi/8$  at antenna element #5,  $10\pi/8$  at antenna element #6,  $11\pi/8$  at antenna element #7 and  $12\pi/8$  at antenna element #8. Feed is carried out with phase being delayed in increments of  $\pi/8$  from antenna element #1 to antenna element #8.

When the position of the terminal to which the input signal is fed changes in Fig. 15, the phase difference that develops between the radiating elements grows larger and a beam is formed in a direction that is significantly offset from the front side of the array. If we let  $\Delta\Psi$  represent the phase difference between radiating elements and  $d$  the element spacing, then beam direction  $\theta$  will be expressed as follows:

$$d \cdot \sin\theta / \lambda = \Delta\Psi / 2\pi \quad (3)$$

In case of an input from the aforementioned input terminal 1R, we would have  $\sin \theta = 1/8$ , or  $\theta \doteq 7.2^\circ$ , if  $\Delta\Psi = \pi/8$  and  $d = \lambda/2$  hold. The result is that a beam is formed in the direction of 1R in Fig. 16. Further, since  $\Delta\Psi = 7\pi/8$  holds in regard to an input from the

input terminal 4R, in this case we have  $\sin \theta = 7/8$ ,  $\theta \approx 61^\circ$ .

In mobile communications, there is not only a communication mode in which information is transmitted continuously, as in the case of voice communication, but also a communication mode in which transmission is bursty, as in the communication of data in the form of packets. When there is no information to be transmitted in packet communication, the usual practice is to transmit nothing in order to reduce interference with respect to other stations. In a wireless base station, there are cases where there is an uplink reception signal but no downlink transmission signal with regard to a certain channel (mobile station). Since the wireless base station does not receive an uplink signal from the mobile station in such cases, in which beam area the mobile station is currently situated is unknown and it is not possible to decide the directivity direction of the downlink transmission beam. In other words, downlink beam forming cannot be carried out in such cases. Accordingly, the conventional practice is to perform beam forming only for uplink reception and not for downlink transmission taking into account the mode in which data is transmitted in bursts.

Fig. 17 is a block diagram showing the construction (in the case of four beams) of a prior-art channel transceiver which performs only uplink beam forming. The apparatus includes the reception array antenna AAT<sub>1</sub>,

which has antenna elements  $ATR_1 - ATR_4$ , the reception beam former RBF, the transmitting antenna  $AAT_2$ , and a transceiver channel unit  $RT_i$  of an  $i$ th channel having a channel receiver  $CHR_i$  of the  $i$ th channel and a channel transmitter  $CHT_i$  of the  $i$ th channel. Though not illustrated, receiver circuitry for performing a frequency conversion, detection and an A/D conversion is provided in front of the reception beam former RBF in the receiving system. Further, though not illustrated, a transmission unit for performing a D/A conversion, frequency conversion and amplification is provided in front of the transmitting antenna in the transmission system.

The channel receiver  $CHR_i$  includes despread-  
ing circuits  $1_1 - 1_4$  for applying despread processing to beams 1 - 4, which are output by the reception beam former RBF, using a spreading code that has been allocated to the channel; a selector 2 for selecting the optimum beam (despread signal); a selection controller 3 for deciding the beam for which signal power is maximum or the beam for which the cross-correlation power between a reception pilot signal and a reference signal is maximum, and for so notifying the selector 2; a synchronous detector 4 for subjecting the despread signal selected by the selector 2 to synchronous detection; an error corrector 5 for performing an error correction using an error correction code appended on the transmitting side; and a data identification unit 6

for identifying received data. The channel transmitter  
CHT<sub>1</sub> includes an error correction coder 7 for adding an  
error correction code onto transmission data, a  
modulator 8 such as a QPSK quadrature modulator, and a  
5 spread-spectrum modulator 9 for spreading and outputting  
transmission data using the spreading code allocated to  
the mobile station (channel).

In accordance with this transceiver channel unit,  
beam forming is performed only for uplink reception and  
10 not for downlink transmission.

Thus, with the conventional wireless base station,  
transmission beam forming is not applied even in an  
ordinary communication mode in which transmission is not  
bursty. Consequently, downlink beam forming is not  
15 applied also in a case where an uplink signal is  
present. As a result, interference between channels at  
the time of downlink communication cannot be reduced,  
reception SN ratio cannot be improved and it is not  
possible to reduce terminal transmission power.

20

Accordingly, an embodiment of the present invention can  
so arrange it that downlink beam forming can be  
carried out in a case where an uplink reception signal  
exists.

25 . An embodiment of the present invention can also  
arrange it that downlink beam forming at a base station  
can be performed at all times regardless of whether  
there is uplink transmission information.



One aspect of the invention provides a multiple-  
beam antenna system of a wireless base station in CDMA  
mobile communications comprising (1) a reception beam  
5 former for electrically forming a plurality of uplink  
reception beams by applying uplink beam forming to  
signals received by a plurality of antenna elements of  
an antenna array; (2) a reception data identification  
unit for executing reception data identification  
10 processing based upon an optimum beam among the  
plurality of uplink reception beams; (3) a transmission  
beam former for generating antenna element input signals  
by applying downlink beam forming, which is for beam  
formation in a prescribed direction, to transmission  
15 signals; and (4) means for controlling whether downlink  
beam forming, which is for forming a downlink  
transmission beam in a direction identical with that of  
the optimum uplink reception beam, is performed or not.

Other features and advantages of the present  
20 invention will be apparent from the following  
description taken in conjunction with the accompanying  
drawings , in which:

Fig. 1 is a diagram useful in describing the  
25 principles of the present invention;

Fig. 2 is a block diagram illustrating the  
construction of a base-station transceiver channel unit  
according to a first embodiment to which the present

invention has been applied;

Fig. 3 is a diagram useful in describing a frame;

Fig. 4 is a diagram useful in describing phase rotation of a pilot symbol;

5 Fig. 5 is a block diagram showing the construction of a first selection controller;

Fig. 6 is a block diagram showing the construction of a second selection controller;

Fig. 7 is a block diagram showing the construction  
10 of a mobile station according to a second embodiment to which the present invention has been applied;

Fig. 8 is a block diagram showing a modification of the mobile station according to the second embodiment;

Fig. 9 is a block diagram showing another  
15 modification of the mobile station according to the second embodiment;

Fig. 10 is a diagram useful in describing a multiple-beam antenna according to the prior art;

Fig. 11 is a diagram showing the disposition of  
20 multiple beams according to the prior art;

Fig. 12 is a diagram showing the construction of a base station using a transceiving multiple-beam antenna according to the prior art;

Fig. 13 is a diagram showing the configuration of a  
25 reception beam former according to the prior art;

Fig. 14 is a diagram showing the configuration of a transmission beam former according to the prior art;

Fig. 15 is a diagram showing the construction of a

Butler matrix (in the case of an 8-beam antenna) according to the prior art;

Fig. 16 is a diagram of multiple beams in accordance with the Butler matrix; and

5        Fig. 17 is a block diagram showing a base-station transceiver channel unit according to the prior art.

(A) Overview of the invention

Fig. 1 is a diagram useful in describing an  
10 overview of the present invention.

Shown in Fig. 1 are a CDMA wireless base station 11 and a mobile station 12. The wireless base station 11 communicates with the mobile station 12 while allocating the spreading code of a prescribed channel to the mobile  
15 station. The wireless base station 11 includes the array antenna AAT<sub>1</sub> on the receiving side having the plurality of antenna elements ATR<sub>1</sub> - ATR<sub>N</sub>; the array antenna AAT<sub>2</sub> on the transmitting side having the plurality of antenna elements ATT<sub>1</sub> - ATT<sub>N</sub>; receiving  
20 circuits RVC<sub>1</sub> - RVC<sub>N</sub> for performing high-frequency amplification, frequency conversion and quadrature detection of received signals; transmitting circuits SDC<sub>1</sub> - SDC<sub>N</sub> for performing frequency conversion and high-frequency amplification; the reception beam former  
25 RBF for electrically forming M-number of uplink reception beams B<sub>1</sub> - B<sub>M</sub> by applying uplink reception beam forming to signals that have been received by N-number of the antenna elements ATR<sub>1</sub> - ATR<sub>N</sub>; and the

transmission beam former TBF for generating antenna  
element input signals by applying downlink transmission  
beam forming to transmission signals. By applying these  
input signals to the antenna elements, it is possible to  
5 output a transmission beam having directivity in a  
prescribed direction.

The wireless base station 11 further includes a  
controller CNT for detecting the presence of an uplink  
reception signal. If an uplink reception signal is  
10 present, the controller CNT performs control in such a  
manner that a downlink transmission beam having a  
direction the same as that of the uplink reception  
signal is formed. If an uplink reception signal does  
not appear upon elapse of a fixed period of time, the  
15 controller CNT performs control in such a manner that  
downlink beam forming is not carried out. The wireless  
base station 11 further includes a despreading circuit  
RSS, a selector SEL1 for selecting a despread signal of  
maximum power, a reception data identification unit RDI  
20 for subjecting the selector output to synchronous  
detection, error correction processing and data  
identification processing and outputting the reception  
data, a transmitter SD for subjecting transmission data  
to QPSK quadrature modulation, spread-spectrum  
25 modulation, etc., and a selector SEL2 for inputting a  
transmission signal to a prescribed input terminal of  
the transmission beam former TBF in accordance with an  
indication from the controller CNT.

The array antennas AAT<sub>1</sub>, AAT<sub>2</sub> and the beam formers RBF, TBF are shared by each of the channels. The output terminals of the reception beam former RBF are connected to each of the channel receivers (not shown), and the  
5 input terminals of the transmission beam former TBF are connected to each of the channel transmitters (not shown).

In embodiments of the invention, whether or not downlink beam forming is carried out is selected  
10 dynamically based upon the presence of an uplink reception signal. That is, in each communication channel, the controller CNT checks to determine whether an uplink reception signal is present. If the signal is present, the controller CNT performs control in such a  
15 manner that downlink beam forming of the corresponding channel is carried out. On the other hand, if an uplink reception signal stops arriving and there is no uplink reception signal upon elapse of a fixed period of time, the controller CNT performs control in such a manner  
20 that downlink beam forming is not carried out. More specifically, the transmission beam former TBF has a plurality of input terminals corresponding to transmission beam directions. In a case where downlink beam forming is carried out, the controller CNT inputs  
25 the transmission signal to the input terminal of the transmission beam former TBF that corresponds to the uplink reception beam direction. In a case where non-directional transmission is performed without carrying

out downlink beam forming, the controller CNT causes the transmission signal to branch into a plurality of signals inputs the branched signals to the respective input terminals of the transmission beam former TBF

5        If this arrangement is adopted, downlink transmission beam forming may be turned on and off in dependence upon the absence or presence of an uplink reception signal. Accordingly, downlink beam forming is carried out in a case where an uplink signal is present,  
10 even at the time of a bursty data transmission such as a packet transmission. This makes it possible to improve downlink transmission quality.

Further, in a case where a transmission signal is caused to branch into  $n$  signals and the branched signals  
15 are input to the input terminals of the transmission beam former so that downlink beam forming is not carried out, the transmission power of each branched signal is made  $\alpha/n$  (where  $\alpha > 1$ ), namely greater than  $1/n$  of transmission signal power. Though downlink transmission  
20 quality declines if downlink beam forming is not carried out, the decline can be compensated for by increasing downlink transmission power to a certain extent. If the transmission power of a certain channel is increased, however, the interference imposed upon other channels  
25 increases as well. There is a limit, therefore, upon the amount by which power can be increased.

Further, reception data is demodulated using a despread signal that corresponds to the uplink reception

beam of maximum power among the plurality of uplink reception beams output by the reception beam former RBF. If this arrangement is adopted, channel interference can be reduced, thereby making it possible to achieve  
5 communication having excellent transmission quality. In addition, the directivity direction of the downlink transmission beam of each channel is made the same as that of the uplink reception beam for which the reception signal power of the channel is largest. Such  
10 an arrangement makes it possible to improve transmission quality by reducing channel interference in both uplink and downlink channels.

Further, even if uplink transmission information is absent on the side of the mobile station 12, a pilot  
15 signal is transmitted at fixed time intervals. As a result, the wireless base station 11 is capable of improving downlink transmission quality by performing downlink transmission beam forming regardless of the absence or presence of uplink transmission information.  
20 In this case the pilot signal transmission interval of the mobile station 12 is varied in dependence upon the traveling velocity of the mobile station. If the mobile station is at rest or traveling at low velocity, therefore, the frequency of uplink pilot signal  
25 transmission can be kept low.

Further, if a decline in transmission quality of a downlink reception signal is sensed while an uplink transmission is not being performed, the mobile station

12 transmits an uplink pilot signal. As a result, the wireless base station 11 is capable of improving downlink transmission quality and of restoring downlink reception quality by performing downlink transmission beam forming regardless of the absence or presence of uplink transmission information. In addition, the mobile station 12 can transmit the pilot signals less frequently.

(B) First embodiment

10 (a) Construction of wireless base station

Fig. 2 is a block diagram illustrating the configuration of the inventive multiple-beam antenna system for a wireless base station. Components identical with those shown in Fig. 1 are designated by like reference characters. It should be noted that only one transceiving channel unit BRS is illustrated in Fig. 2, the array antenna AAT<sub>1</sub> and reception beam former RBF shown in Fig. 1 are provided on the receiving side, and the reception beam former outputs B<sub>1</sub> - B<sub>4</sub> are input to the despreading units of Fig. 2. Further, the array antenna ATT<sub>2</sub> and transmission beam former TBF shown in Fig. 1 are provided on the transmitting side, and the transmission signals of Fig. 2 are input to the prescribed input terminals of the transmission beam former TBF.

The base-station transceiving channel unit BRS includes despreading circuits RSS<sub>1</sub> - RSS<sub>4</sub> the inputs to which are the four uplink reception beams B<sub>1</sub> - B<sub>4</sub> output



by the reception beam former RBF. The despreading circuits RSS1 - RSS4 apply despreading processing to each of the beams B<sub>1</sub> - B<sub>4</sub> using a despreading code allocated to the channel and output despread signals (I, Q signals). The selection controller CNT executes (1) processing for sensing the signal of maximum power among the four despread signals, (2) processing for sensing whether an uplink signal is present or not, and (3) beam selection control and downlink beam forming on/off control based upon the results of the sensing processing. The selector SEL<sub>1</sub> selects the beam (despread signal) of maximum power of which notification has been given by the selection controller CNT and outputs the selected signal to the reception data identification unit RDI. The reception data identification unit RDI includes a synchronous detector SDM to which despread signals (I, Q signals) are applied for performing synchronous detection, an error corrector ECC for applying error correction processing to demodulated reception data, and a data discriminator DTD.

The synchronous detector SDM detects a pilot signal, obtains the phase difference between this pilot signal and an already known pilot signal and restores the phases of the despread I, Q signals by the amount of this phase difference. In order to perform highly precise data demodulation in CDMA communication, a pilot signal is inserted every prescribed number of items of

data. More specifically, as shown in Fig. 3, the mobile station 12 forms transmission data D into blocks every prescribed number of bits, inserts already known pilot signals before and after the blocks to form frames, then  
5 converts the frame data sequence to I, Q data sequences, subjects these to QPSK modulation and spread-spectrum modulation and transmits the results. The data and pilot each form one symbol composed of the two bits of I, Q, and the symbol is  $I + jQ = (I^2 + Q^2)^{1/2} \exp(j\theta)$  when  
10 expressed in the I-Q complex plane. The data symbol and the pilot symbol experience phase rotation owing to transmission. If a signal point position vector  $P_{ACT}$  (see Fig. 4) is known at the wireless base station 11, then the phase rotation angle  $\theta$  of the symbol resulting  
15 from transmission can be obtained because the ideal signal point position vector  $P_{IDL}$  of the pilot symbol is already known. Accordingly, the synchronous detector SDM detects the pilot symbol, calculates the phase rotation angle  $\theta$  thereof, subjects each data symbol to  
20 rotation processing equivalent to the rotation angle  $-\theta$  to thereby effect restoration to the original and discriminates the "1", "0" of the reception data. As a result, highly precise data demodulation is possible.

The transmitter SD includes an error correction  
25 coder ECA for adding an error correction code onto transmission data, a modulator MOD for modulating the transmission data, and a spread-spectrum modulator SSM for spread-spectrum modulating the modulator output with

a spreading code that has been allocated to the channel. The selector SEL2 inputs a transmission signal output by the spread-spectrum modulator SSM to any input terminal of the transmission beam former TBF (see Fig. 1) in accordance with an indication from the selection controller CNT. An amplifier AMP has a gain of  $\alpha/4$  (where  $\alpha > 1$ ).

(b) Operation

The transmission beam former TBF (see Fig. 1) has a plurality of input terminals conforming to the directions of a transmission beam. That is, if a transmission signal is input to an  $i$ th input terminal, the transmission array antenna AAT<sub>2</sub> outputs the transmission signal in the beam direction corresponding to the  $i$ th input terminal. Further, if transmission signals are input to all input terminals of the transmission beam former TBF, the transmission array antenna ATT<sub>2</sub> outputs the transmission signals non-directionally. Furthermore, since a user (mobile station) exists in the beam direction for which the reception signal is largest, gain can be raised and transmission quality improved if the transmission beam is output in the same direction as that of the uplink reception beam having maximum power.

Thus, the selection controller CNT controls the selector SEL2 such that (1) if downlink beam forming is carried out, a transmission signal is input to the input terminal of the transmission beam former TBF that

corresponds to the direction of the reception beam having maximum power, and (2) if non-directional transmission is carried out without performing downlink beam forming, transmission signal power is input to each of the input terminals of the transmission beam former TBF by splitting the signal power into  $n$  branches ( $n = 4$  in Fig. 2) the power of each of which is  $1/n$  to make the total transmission power the same. In this case, if the transmission signal power of the branched signal is made  $\alpha/n$  ( $\alpha > 1$ ) and the transmission signal power is enlarged, then transmission quality can be improved. The reason is that downlink transmission quality declines unless downlink beam forming is carried out, though the decline can be compensated for by increasing the downlink transmission power a certain extent. If the transmission power of a certain channel is increased, however, the interference imposed upon other channels increases as well. There is a limit, therefore, upon the amount by which power can be increased.

Thus, the selection controller CNT senses whether an uplink reception signal is present or not. If an uplink signal does exist, the selection controller CNT senses the beam (despread signal) having the largest power and inputs this to the selectors SEL1, SEL2. In response, the selector SEL1 selects the despread signal of maximum power and the reception data identification unit RDI uses this despread signal to identify and

output the reception data. The selector SEL2 inputs the output of the transmitter SD to the input terminal of the transmission beam former TBF that corresponds to the direction of the reception beam of maximum power. As a result, a transmission signal (transmission beam) is emitted from the array antenna ATT<sub>2</sub> in a direction identical with that of the uplink reception beam.

On the other hand, if the uplink reception signal is not present continuously in excess of a prescribed period of time, the direction in which the mobile station (user) resides is unknown. Accordingly, the selection controller CNT instructs the selector SEL2 to perform non-directional transmission. In response, the selector SEL2 branches the output signal of the transmitted SD four times via the amplifier AMP whose gain is  $\alpha/4$  and inputs the resulting signals to all input terminals of the transmission beam former TBF. As a result, in a case where transmission data in the downlink direction exists when there is no uplink reception signal, transmission signals are emitted from the array antenna ATT<sub>2</sub> non-directionally.

(c) Selection controller

(c-1) First embodiment of selection controller

Fig. 5 is a block diagram showing a first construction of the selection controller CNT, which is connected to the selector SEL1. The selection controller CNT includes power calculation units PC1 - PC4 for calculating the powers of the despread signals

output by the despreading circuits RSS1 - RSS4, a maximum-power beam decision unit PMAX for determining the beam (despread signal) having maximum power and inputting a signal indicative thereof to the selectors SEL1, SEL2, and an uplink-signal detection unit USD for detecting that an uplink reception signal has been absent continuously for a predetermined period of time or longer. The uplink-signal detection unit USD judges that an uplink signal is absent if the powers of all beams (despread signals) remain below a set value continuously in excess of a predetermined time  $T_s$ . If the power of any beam (despread signal) equals or exceeds the set value, the uplink-signal detection unit USD judges that an uplink signal is present.

Strictly speaking, the time  $T_s$  is that required for the mobile station to move from the present beam area to another beam area. Downlink beam forming is carried out if an uplink signal is absent upon elapse of the time  $T_s$  (measured in seconds) from the moment the uplink reception signal stopped arriving. The time required for the average amount of change in the reception signal in the arrival direction due to movement of the mobile station to exceed an allowable range  $\Delta\theta$  can be used as the time  $T_s$ . This is decided by the traveling velocity of the mobile station and the distance from the base station. The following equation gives the time  $T_s$  [s] required for the arrival angle to change by the allowable range  $\Delta\theta$  in a case where a mobile station

located at a distance  $r$  (measured in meters) from the base station has traveled at a velocity  $v$  [km/h] in the tangential direction:

$$T_s = 3.6r\pi\Delta\theta/180v$$

- 5 For example,  $T_s = 118$  ms holds if  $v = 80$  km/h,  $r = 50$  m,  $\Delta\theta = 3^\circ$  hold true. However, it is obvious from the above-cited equation that time  $T_s$  varies depending upon the velocity of the mobile station, distance from the base station and traveling direction. The time  $T_s$ ,  
10 therefore, cannot be decided rigidly but is instead set to an appropriate time.

The I signals (in-phase signals) and Q signals (quadrature signals) that have been obtained by despreaders enter the power calculating units PC1 - PC4  
15 from the despreaders RSS1 - RSS4. When the I, Q signals are expressed in the I-Q complex plane, we have  $I + jQ = (I^2 + Q^2)^{1/2} \exp(j\theta)$ . Accordingly, each of the power calculation units PC1 - PC4 multiplies  $(I + jQ)$  by its complex conjugate  $(I - jQ)$  using a multiplier MP  
20 and then averages the products using an average-value circuit AVR to thereby calculate the power  $(I^2 + Q^2)$ , which is then input to the maximum-power beam decision unit PMAX.

It is stated above that an uplink signal is judged  
25 to be absent when the powers of all beams (despread signals) are less than a set value continuously for a time greater than the predetermined time period  $T_s$ . However, control can be performed in such a manner that

when an error detection quantity in the error correction unit ECC (see Fig. 2) exceeds a set value, downlink beam forming is halted on the grounds that the mobile station has moved to another beam area.

5 (c-2) Second embodiment of selection controller

Fig. 6 is a block diagram showing a second construction of the selection controller CNT, which is connected to the selector SEL1. The selection controller CNT has correlation calculating units CR1 -  
10 CR4 for calculating cross-correlation powers (cross-correlation values) between pilot signals, which are included in the despread signals output by the despreding circuits RSS1 - RSS4, a maximum correlation beam decision unit CRMAX for determining the beam  
15 (despread signal) having the largest cross-correlation power and inputting a signal indicative thereof to the selectors SEL1, SEL2, and an uplink-signal detection unit USD for detecting that an uplink reception signal has been absent continuously for a predetermined period  
20 of time or longer. The uplink-signal detection unit USD judges that an uplink signal is absent if the cross-correlation powers of all beams (despread signals) remain below a set value continuously in excess of a predetermined time. If the cross-correlation power of  
25 any beam (despread signal) equals or exceeds the set value, the uplink-signal detection unit USD judges that an uplink signal is present.

In an array antenna system, the phase of a pilot



symbol included in that beam in the direction in which the mobile station 12 resides among the beams output by the reception beam former RBF is closest to the phase of the already known pilot symbol and the cross-correlation power of both pilot symbols is the largest.

Accordingly, each of the correlation calculation units CR1 - CR4 extracts a reception pilot symbol ( $I'+jQ'$ ) using a pilot extraction unit PLE, multiplies this reception pilot symbol ( $I'+jQ'$ ) by the complex conjugate ( $I-jQ$ ) of the known pilot symbol using a multiplier MPL to calculate the correlation, then calculates the absolute value of the product using an absolute-value circuit ABL and calculates the average using an average-value circuit AVR. Thus, the correlation calculation units CR1 - CR4 calculate the cross-correlation powers and input them to the maximum correlation beam decision unit CRMAX. The latter decides the despread signal having the maximum cross-correlation power and notifies the selectors SEL1, SEL2. It should be noted that the beam for which the cross-correlation power of a pilot symbol is maximum naturally exhibits the maximum signal power as well, so that results identical with those of the first embodiment are obtained.

(C) Second embodiment

In the first embodiment, whether or not downlink transmission beam forming is carried out is controlled based upon whether or not there is an uplink reception signal from the mobile station. In the first

embodiment, therefore, a transmission cannot be made upon applying beam forming to a downlink signal in a case where there is no uplink reception signal. The second embodiment is so adapted that if information to  
5 be transmitted in the uplink direction vanishes during communication, the mobile station halts data transmission but transmits pilot signals at fixed time intervals, whereby the wireless base station applies beam forming to the downlink signal irrespective of the  
10 absence or presence of the uplink reception signal.

(a) Mobile station

Fig. 7 is a block diagram showing the construction of a mobile station according to the second embodiment. The receiving circuitry includes a receiving antenna 21,  
15 a receiver 22 for performing high-frequency amplification, frequency conversion and A/D conversion, a despreading unit 23 for despreading a received signal using a spreading code specified by the base station, and a demodulator 24 for subjecting the received data to  
20 QPSK demodulation. The transmitting circuitry includes a pilot-signal transmission controller 25 for performing control to insert pilot signals before and after data frames (see Fig. 3), a pilot signal generator 26 for generating a known pilot signal at a timing specified by  
25 the pilot-signal transmission controller 25, a multiplexer 27 for inserting a pilot into a data sequence, a modulator 28 for subjecting transmission data output by the multiplexer 27 to QPSK modulation, a

spread-spectrum modulator 29 for applying spread-spectrum modulation to the transmission data sequence using a spreading code specified by the base station, a transmitter 30 for subjecting the spread-spectrum modulated signal to frequency conversion and high-frequency amplification, etc., and a transmitting antenna 31.

(b) Operation

If information to be transmitted in the uplink direction vanishes during communication with the wireless base station 11 (Fig. 1) (i.e., in a state in which a connection has been established between the mobile station 12 and the wireless base station 11), the mobile station 12 halts the data transmission. Even in the state in which data transmission has been halted, the pilot-signal transmission controller 25 performs control in such a manner that pilot signals are transmitted at the original transmission period or at a longer period. As a result, the wireless base station 11 carries out downlink beam forming upon judging from the pilot signals that an uplink reception signal is present. It is assumed here that the wireless base station 11 has the arrangement of Fig. 6 as the selection controller CNT.

Thus, regardless of the absence or presence of an uplink reception signal, the wireless base station 11 carries out downlink transmission beam forming to improve the downlink transmission quality. Accordingly,

the wireless base station 11 need not carry out transmission beam forming and need not have means for performing non-directional transmission.

(c) First modification

5        Fig. 8 is a block diagram showing a modification of the mobile station according to the second embodiment. Components identical with those of the mobile station shown in Fig. 7 are designated by like reference characters. This modification differs in that (1) a  
10    velocity sensor 32 for sensing the traveling velocity of the mobile station 12 is provided, and (2) the pilot-signal transmission controller 25, in conformity with the traveling velocity, controls the period at which the pilots are transmitted when data transmission has been  
15    halted. The time required for the mobile station 12 to leave the present beam area lengthens when the traveling velocity decreases and shortens when the traveling velocity increases. Accordingly, the pilot-signal transmission controller 25 lengthens the pilot signal  
20    transmission period if the traveling velocity is low and shortens the pilot signal transmission period if the traveling velocity is high.

By thus varying the pilot signal transmission interval of the mobile station 12 in dependence upon the  
25    traveling velocity of the mobile station, the uplink pilot signal can be transmitted less frequently in a case where the mobile station is at rest or traveling at low velocity.

(d) Second modification

Fig. 9 is a block diagram showing another modification of the mobile station according to the second embodiment. Components identical with those of the mobile station shown in Fig. 7 are designated by like reference characters. This modification differs in that (1) an error detector 33 for detecting CRC error, for example, is provided on the output side of the demodulator 24; (2) error detection rate or number of times errors are detected is input from the error detector 33 to the pilot-signal transmission controller 25; (3) the pilot-signal transmission controller 25 halts pilot transmission if data transmission is halted; and (4) if the error detection rate of received data exceeds a set value in a state in which data transmission has been halted, the pilot-signal transmission controller 25 transmits pilot signals in such a manner that the error detection rate is improved. It is assumed here that the wireless base station 11 has the arrangement of the first embodiment, in which whether downlink beam forming is to be carried out or not is controlled based upon the absence or presence of an uplink reception signal, and that the arrangement of Fig. 6 is used as the selection controller CNT.

If the mobile station 12 is transmitting data, the pilot-signal transmission controller 25 inserts pilot signals before and after data frames and transmits the result. If uplink data to be transmitted vanishes and

data transmission stops, however, the pilot-signal transmission controller 25 halts the transmission of the pilot signals. As a result, the wireless base station 11 senses absence of the uplink reception signal for a period of time greater than a predetermined period of time.

When the wireless base station 11 senses absence of the uplink reception signal for a period of time greater than the predetermined period of time, the base station does not carry out downlink beam forming and transmits a downlink signal non-directionally. As a consequence, gain declines, interference from other channels increases and the number of times error is detected in the mobile station 12 increases. As a result, the error detection rate reported to the pilot-signal transmission controller 25 by the error detector 33 surpasses the set value.

When the error detection rate exceeds the set value, the pilot-signal transmission controller 25 transmits the pilot signals at a predetermined period. As a result, the wireless base station 11 detects the beam of maximum power based upon the pilot signals, thereby identifying the beam direction in which the mobile station resides, and carries out downlink beam forming to output a transmission beam in the above-mentioned beam direction. The mobile station 12 is then capable of receiving data correctly, the error detection rate declines and the pilot-signal transmission

controller 25 stops transmitting the pilot signals.

(e) Third modification

In the second modification, the wireless base station 11 controls whether or not downlink beam forming is carried out based upon whether or not a pilot signal (uplink reception signal) is present. However, it is also possible to adopt an arrangement in which the wireless base station 11 carries out downlink beam forming irrespective of the absence or presence of a pilot signal (uplink reception signal). Operation in such case is as described below. It should be noted that the construction of the mobile station is the same as that of the second modification.

If the mobile station 12 is transmitting data, the pilot-signal transmission controller 25 inserts pilot signals before and after data frames and transmits the result. If uplink data to be transmitted vanishes and data transmission stops, however, the pilot-signal transmission controller 25 halts the generation of the pilot signals. As a result, the wireless base station 11 can no longer sense the uplink reception signal. The wireless base station 11 thenceforth outputs the transmission beam by carrying out downlink beam forming in the direction of the beam of maximum power thus far.

When the mobile station 12 travels and leaves the present beam area, the number of times error is detected in the mobile station 12 increases. As a result, the error detection rate reported to the pilot-signal

transmission controller 25 by the error detector 33  
surpasses the set value. When the error detection rate  
exceeds the set value, the pilot-signal transmission  
controller 25 transmits the pilot signals at a  
5 predetermined period. As a result, the wireless base  
station 11 detects the beam of maximum power using the  
pilot signals, thereby identifying the beam direction in  
which the mobile station resides, and outputs a  
transmission beam in the above-mentioned beam direction  
10 by downlink beam forming. The result is that the mobile  
station 12 is capable of receiving data correctly, the  
error detection rate declines and the pilot-signal  
transmission controller 25 stops transmitting the pilot  
signals. The above-described operation is then  
15 repeated.

In an embodiment of the invention, if an uplink  
reception signal is present, control is performed so as  
to carry out downlink beam forming of the corresponding  
channel. If the uplink reception signal is absent  
20 continuously for a fixed period of time, control is  
performed in such a manner that downlink beam forming is  
not carried out. As a result, downlink beam forming can  
be carried out in a case where an uplink signal is  
present, even at the time of a bursty data transmission  
25 such as a packet transmission. This makes it possible  
to improve downlink transmission quality.

Further, in an embodiment of the invention,  
a transmission is split into  $n$  branches and input to



each input terminal of a transmission beam former so that downlink beam forming is not carried out. In addition, the transmission power of each branched signal is made  $\alpha/n$  ( $\alpha/n$ , where  $\alpha > 1$ ). As a result, a decline  
5 in downlink transmission quality can be compensated for even in a case where downlink beam forming is not carried out.

Further, in an embodiment of the invention, received data can be reproduced and output using a  
10 despread signal corresponding to that uplink reception signal that exhibits maximum power among a plurality of uplink reception signals output by the reception beam former. As a result, channel interference can be reduced, making it possible to achieve communication  
15 having excellent transmission quality.

Further, in an embodiment of the invention, the directivity direction of a downlink transmission beam is made the same as that of the uplink reception beam for which signal power is largest. Such an  
20 arrangement makes it possible to improve transmission quality by reducing channel interference in both uplink and downlink channels.

Further, in an embodiment of the invention, pilot signals are transmitted at fixed time intervals  
25 even in a case where there is no uplink transmission information on the side of the mobile station. As a result, the wireless base station is capable of improving downlink transmission quality by performing

downlink transmission beam forming regardless of the  
absence or presence of uplink transmission information.  
In this case, the pilot signal transmission interval of  
the mobile station is varied in dependence upon the  
5 traveling velocity of the mobile station. When the  
mobile station is at rest or traveling at low velocity,  
therefore, the frequency of uplink pilot signal  
transmission can be kept low.

Further, in an embodiment of the invention,  
10 if the transmission quality of the downlink reception  
signal declines when uplink data is not being  
transmitted, the mobile station transmits uplink pilot  
signals. As a result, the wireless base station is  
capable of improving downlink transmission quality by  
15 carrying out downlink transmission beam forming,  
regardless of whether uplink transmission information is  
present or not. In addition, it is possible for the  
mobile station to transmit the pilot signals less  
frequently.

20 As many apparently widely different embodiments of  
the present invention can be made without departing from  
the scope thereof, it is to be understood  
that the invention is not limited to the specific  
embodiments thereof except as defined in the appended  
25 claims.

CLAIMS:

1. A multiple-beam antenna system of a wireless base station in CDMA mobile communications, comprising:
  - a reception beam former for electrically forming a plurality of uplink reception beams by applying uplink beam forming to signals received by a plurality of antenna elements of an antenna array;
  - a reception data identification unit for executing reception data identification processing based upon an optimum beam among the plurality of uplink reception beams;
  - a transmission beam former for generating antenna element input signals by applying downlink beam forming, which is for beam formation in a prescribed direction, to transmission signals; and
  - control means for controlling whether downlink beam forming, which is for forming a downlink transmission beam in a direction identical with that of the optimum uplink reception beam, is performed or not.
2. The system according to claim 1, wherein said control means detects whether an uplink reception signal is present or not, performs control so as to form a downlink transmission beam in a direction identical with that of the optimum uplink reception beam if the uplink reception signal is present, and performs control in such a manner that downlink beam forming is not carried out if an uplink reception signal is absent for a fixed period of time.

3. The system according to claim 2, further comprising:

a despreader for despreading a plurality of uplink beams output by said reception beam former; and

5 a selector for selecting a despread signal of maximum power and inputting the signal to said reception data identification unit;

wherein said control means calculates powers of despread signals, obtains the despread signal of maximum power, reports this to said selector and controls said  
10 transmission beam former so as to form a downlink transmission beam in a direction identical with that of the uplink reception beam conforming to the despread signal of maximum power.

4. The system according to claim 2, further comprising:

15 a despreader for despreading a plurality of uplink beams output by said reception beam former; and

a selector for selecting a despread signal of maximum power and inputting the signal to said reception data identification unit;

20 wherein said control means calculates correlation power between a pilot signal contained in a despread signal and a reference signal, obtains the despread signal for which correlation power is maximum, reports this to said selector and controls said transmission  
25 beam former so as to form a downlink transmission beam in a direction identical with that of the uplink reception beam conforming to the despread signal for which correlation power is maximum.

5. The system according to claim 2, 3, or 4, wherein said transmission beam former has a plurality of input terminals corresponding to beam directions, and said control means inputs a transmission signal to one of the  
5 input terminals of said transmission beam former which corresponds to the direction of the uplink reception beam in a case where a transmission beam is formed in a direction identical with that of the reception beam, and splits the transmission signal into a plurality of  
10 branches and inputs each branched signal to a respective one of the input terminals of said transmission beam former in a case where downlink beam forming is not carried out.

6. The system according to claim 5, wherein if downlink  
15 beam forming is not carried out, the transmission power of each branched signal is made greater than  $1/n$  of transmission signal power, where  $n$  represents the number of branches of the transmission signal.

7. A mobile communications system in which a wireless  
20 base station having a multiple-beam antenna system and a mobile station communicate with each other in accordance with a CDMA scheme, wherein said wireless base station includes:

a reception beam former for electrically forming a  
25 plurality of uplink reception beams by applying uplink beam forming to signals received by a plurality of antenna elements of an antenna array;

a reception data identification unit for

despreading a plurality of uplink reception beams output by said reception beam former, identifying reception data using a despread signal of an uplink reception beam of maximum signal power and outputting this reception

5 data;

a transmission beam former for generating antenna element input signals by applying downlink beam forming, which is for beam formation in a prescribed direction, to transmission signals; and

10 a controller for detecting whether an uplink reception signal is present based upon correlation power between a pilot signal contained in a despread signal and a reference signal, and performing control so as to form a downlink transmission beam in a direction  
15 identical with that of the uplink reception beam of maximum signal power if the uplink reception signal is present; and

said mobile station includes means for transmitting pilot signals at fixed time intervals if information to  
20 be transmitted in the uplink direction vanishes during communication.

8. The system according to claim 7, wherein said mobile station further includes means for varying the pilot signal transmission interval in dependence upon  
25 traveling velocity of the mobile station.

9. A mobile communications system in which a wireless base station having a multiple-beam antenna system and a mobile station communicate with each other in accordance

with a CDMA scheme, wherein said wireless base station includes:

a reception beam former for electrically forming a plurality of uplink reception beams by applying uplink  
5 beam forming to signals received by a plurality of antenna elements of an antenna array;

a receiver for despreading a plurality of uplink beams output by said reception beam former and demodulating reception data using a despread signal of  
10 an uplink reception beam of maximum signal power;

a transmission beam former for generating antenna element input signals by applying downlink beam forming, which is for beam formation in a prescribed direction, to transmission signals; and

15 a controller for detecting whether an uplink reception signal is present based upon correlation power between a pilot signal contained in a despread signal and a reference signal, performing control so as to form a downlink transmission beam in a direction identical  
20 with that of the uplink reception beam of maximum signal power if the uplink reception signal is present, and performing control in such a manner that downlink beam forming will not be carried out if an uplink reception signal is absent for a fixed period of time; and

25 said mobile station includes:

transmission quality monitoring means for sensing transmission quality of a downlink reception signal in a period in which data transmission has stopped; and

means for transmitting uplink pilot signals when a  
decline in transmission quality has been sensed.





Application No: GB 9818750.3  
Claims searched: 1 to 9

Examiner: Glyn Hughes  
Date of search: 19 January 1999

**Patents Act 1977**  
**Search Report under Section 17**

**Databases searched:**

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:  
UK CI (Ed.Q): H4L (LD SG), H1Q (QFA, QFF)  
Int CI (Ed.6): H01Q 3/26, H04B 7/10, H04Q 7/36  
Other: Online: EPODOC, WPI, PAJ

**Documents considered to be relevant:**

Category	Identity of document and relevant passage	Relevant to claims
X	EP 0777400 A2 (TRW) see column 5 lines 4 to 14	1
X	WO 96/37973 A1 (NOKIA) see page 15 lines 3 to 17 and page 31 lines 8 to 19	1

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.

This Page Blank (uspto)

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**This Page Blank (uspto)**